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OKA-C172

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the (X) utility, () design, () plant patent application of:

INVENTORS: Yoshimi SHIOYA, Kouichi OHIRA, Kazuo MAEDA,
Tomomi SUZUKI, Youichi YAMAMOTO, Yuichiro KOTAKE,
Hiroshi IKAKURA and Shoji OHGAWARA

FOR: SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING
THE SAME

Enclosed are:

(X) 38 page of specification

(X) Abstract

(X) Oath or Declaration

(X) Power of Attorney

14 Sheets of (X) formal () informal drawings

(X) An assignment of the application to: CANON SALES CO., LTD. and
SEMICONDUCTOR PROCESS LABORATORY CO., LTD.

(X) Preliminary Amendment

() Information Disclosure Statement

() Change of Address

(X) Assignment Cover Sheet

() Petition to Make Special Under 37 CFR 1.102(c)

() Applicant is entitled to Small Entity Status

11/20/01



U.S. PTO



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0988685-112001

The filing fee is calculated as follows:

| Basic Fee | Number Filed | Number Extra | Large Entity | Small Entity | Amount |
|------------------------|--------------|--------------|--------------|--------------|-----------|
| Utility | XXX | XXX | \$ 740.00 | \$ 370.00 | \$ 740.00 |
| Design | XXX | XXX | \$ 330.00 | \$ 165.00 | |
| Plant | XXX | XXX | \$ 510.00 | \$ 255.00 | |
| Total Claims | 25- 20 = | 5 | x \$18.00 | X \$9.00 | 90.00 |
| Independent Claims | 3 -3 = | | x \$84.00 | x \$ 42.00 | |
| Multiple Dependency | | | \$ 280.00 | \$ 140.00 | |
| Late Fee Surcharge | | | \$ 130.00 | \$ 65.00 | |
| Assignment Recordation | | | \$ 40.00 | | 40.00 |
| TOTAL FEE | | | | | \$ 870.00 |

() A check in the amount of \$.00 to cover the filing fee is enclosed.

(X) A credit card payment form for the \$870.00 fee is enclosed herewith.

() Please charge Deposit Account No. in the amount of

() This application is filed under the provisions of 37 CFR 1.53, and does not include:

() Oath or Declaration

() Filing Fee

(X) The Commissioner is hereby authorized to charge payment of the following fees or credit any overpayment to Deposit Account No.12-2174. A duplicate copy of this sheet is enclosed.

(X) Any additional filing fees required under 37 CFR 1.16.

(X) Any patent application processing fees under 37 CFR 1.17.

() The Issue Fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).


00988585-112001

REPORT OF THE

() Certified copy enclosed.

| <u>Serial No.</u> | <u>Filing Date</u> |
|-------------------|--------------------|
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| <u>Serial No.</u> | <u>Filing Date</u> | <u>Status</u> |
|-------------------|--------------------|---------------|
|-------------------|--------------------|---------------|


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